

STATE OF MICHIGAN

IN THE CIRCUIT COURT FOR THE COUNTY OF OAKLAND

IN RE LSG FINANCIAL, LLC

Case No. **B-137413-CZ**
Hon. Wendy Potts

SUPPLEMENTAL OPINION AND ORDER RE: LSG FINANCIAL, LLC'S MOTION TO
COMPEL PRODUCTION OF DOCUMENTS FROM THIRD-PARTY SIGMA FINANCIAL
CORPORATION

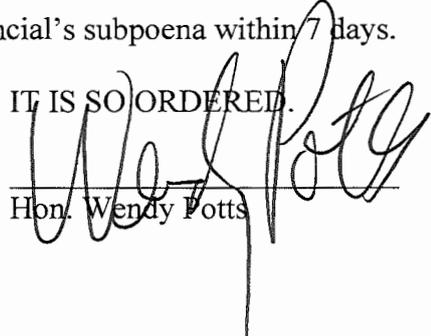
At a session of Court
Held in Pontiac, Michigan
On

~~SEP 11 2014~~

LSG Financial, LLC sought to compel nonparty Sigma Financial Corporation to respond to a subpoena seeking information regarding LSG Financial's customers and commissions Sigma paid to LSG Financial's members, Clark Harris and Kevin VandenHaute. Sigma claimed that federal law barred it from releasing its customers' personal information to LSG Financial. The Court concluded that LSG Financial's subpoena appeared to fall within an exception for disclosure "to comply with a properly authorized civil, criminal, or regulatory investigation or subpoena or summons by Federal, State, or local authorities . . ." See 15 USC § 6802(e)(8). The Court allowed Sigma to respond to explain why the exception does not apply to LSG Financial's subpoena. In its supplemental brief, Sigma cites no authority contradicting the Court's conclusion that LSG Financial's subpoena falls within the exception of 15 USC § 6802(e)(8). Therefore, the Court orders Sigma to respond to LSG Financial's subpoena within 7 days.

Dated: **SEP 11 2014**

IT IS SO ORDERED.


Hon. Wendy Potts